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THE O.N. EQUITY SALES COMPANY

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
(SAN FRANCISCO DIVISION)

THE O.N. EQUITY SALES COMPANY,
an Ohio Corporation,

Plaintiff,

vs.

DANIEL MARIA CUI,

Defendant.

Case No. 3:07-cv-02844-JSW

The Honorable Jeffrey S. White

(Filed via ECF/PACER)

AFFIDAVIT OF MARION H. LITTLE, JR.

STATE OF OHIO :

: SS

COUNTY OF FRANKLIN :

1 Marion H. Little, Jr., first being duly sworn according to law, deposes and states that
2 he has personal knowledge of matters set forth herein except as specifically noted otherwise,
3 and further states as follows:

4 1. I am one of the attorneys representing The O. N. Equity Sales
5 Company ("ONESCO"), the Plaintiff in the above-referenced action.

6 2. I am also one of the attorneys representing ONESCO in a series of
7 Financial Industry Regulatory Authority, formerly National Association of Securities Dealers
8 ("NASD"), arbitration actions filed against ONESCO by numerous parties who are
9 represented by counsel for Defendant in this action, Joel A. Goodman and his firm, Goodman
10 & Nekvasil, P.A.

11 3. Although formal discovery has not commenced in this case, I have
12 obtained the following items, which I believe to be true and accurate copies thereof, through
13 an informal investigation:

- 14 • Lancorp Fund Private Placement Memorandum, Exh. A to ONESCO's
15 Complaint.
- 16 • Lancorp Fund Subscription Agreement executed by Defendant Maria
17 Cui, Exh. A to ONESCO's Complaint.
- 18 • Lancaster's CRD for Gary Lancaster, Exh. B.
- 19 • Lancaster's Letter of Acceptance, Waiver and Consent with the NASD,
20 Exh. C.
- 21 • Transcript of deposition of Gary Lancaster taken in connection with
22 Securities and Exchange Commission v. Megafund Corp., et al., Case
23 No. 3:05-CV-1328-L, Exh. D.

24 4. Also attached is the Affidavit of Jeffrey Bley attesting to certain facts
25 within his personal knowledge, Exh. E.

26 5. If the Court orders formal discovery in this case, ONESCO intends to
27 subpoena witnesses who will be able to authenticate all of the documents cited herein.
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